

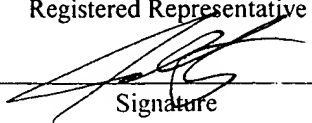
#5 N.N.

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Joseph F. Hertz - Reg. No. 41,070

Name of Applicant, Assignee or  
Registered Representative

  
Signature

Patent  
Our Case No. 10406-43

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: )  
Overton et al. )  
Serial No.: 09/661,222 )  
Filed: September 13, 2000 )  
For: Network Distributed Tracking )  
Wire Transfer Protocol )

**FIRST SUPPLEMENTAL**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
Washington, D.C. 20231

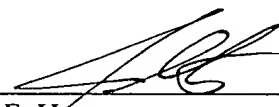
Dear Sir:

Pursuant to the obligation under 37 C.F.R. § 1.56 and in conformance with 37 C.F.R. §§ 1.97-1.99, Applicants hereby submit documents A1-A11 listed on the attached form PTO-1449 for consideration by the Examiner. Copies of the documents are enclosed herewith. Applicants respectfully request that the Examiner review the entire disclosure of these documents and make them of record.

The filing of this Information Disclosure Statement does not constitute an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b). Further, Applicants reserve the right to contest that these documents are prior art against the present application.

Dated: December 4, 2000

Respectfully submitted,



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Joseph F. Hetz  
Reg. No. 41,070  
Attorney for Applicants

BRINKS HOFER  
GILSON & LIONE  
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Fr PTO-1449	SERIAL NO. 09/661,222	CASE NO. 10406/43
LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	FILING DATE September 13, 2000	GROUP ART UNIT
(use several sheets if necessary)		APPLICANT(S): OVERTON ET AL.

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
	A1	5,347,600	09/13/94	BARNLEY ET AL.		
	A2	4,636,858	01/13/87	HAGUE ET AL.		
	A3	5,809,161	09/15/98	AUTY ET AL.		
	A4	5,864,482	01/26/99	HAZAMA ET AL.		
	A5	5,610,653	03/11/97	ABECASSIS		
	A6	5,579,067	11/26/96	WAKABAYASHI		
	A7	5,649,247	07/15/97	ITOH ET AL.		
	A8	5,193,185	03/09/93	LANTER		
	A9	5,008,700	04/16/91	OKAMOTO		
	A10	4,728,978	03/01/88	INOUE ET AL.		
	A11	5,499,113	03/12/96	TSUBOI ET AL.		

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS/ SUBCLASS	TRANSLATION YES	NO

EXAMINER INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)	

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

0300

TRANSMITTAL LETTER			Case No. 10406/43
Serial No. 09/661,222	Filing Date September 13, 2000	Examiner	Group Art Unit
Inventor(s) OVERTON ET AL.			
Title of Invention NETWORK DISTRIBUTED TRACKING WIRE TRANSFER PROTOCOL			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is a First Supplemental Information Disclosure Statement (2 pages); Form PTO-1449 (1 page);  
119 S. References; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

					Small Entity		Other Than Small Entity	
	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra	Rate	Add'l Fee	Rate	Add'l Fee
Total		Minus			x \$9 =		x \$18 =	
Indep.		Minus			x 40 =		x \$80 =	
First Presentation of Multiple Dep. Claim					+ \$135 =		+ \$270 =	
					Total add'l fee	\$	Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$\_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$\_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 4, 2000.

Date: December 4, 2000

Signature: \_\_\_\_\_